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Elaine C. Stracker



PATENT
Attorney's Docket No.: 00032.05CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rabinowitz et al.
Serial No.: 10/816,407
Filed: 4/1/2004
Title DELIVERY OF COMPOUNDS FOR THE TREATMENT OF
PARKINSONS THROUGH AN INHALATION ROUTE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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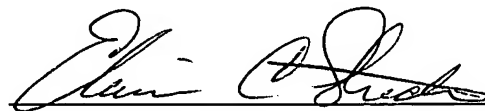
In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

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Respectfully submitted,

Date: SEP. 24 2004


Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/816,407	
	Filing Date	4/1/2004	
	First Named Inventor	Joshua D. Rabinowitz	
	Art Unit	Not yet known	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission		Attorney Docket Number	00032.05CON

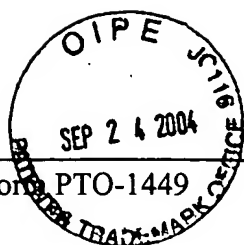
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Form PTO-1449

Docket Number: 00032.05CON

Application Number: 10/816,407

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 4/1/2004

Group Art Unit: Not Yet Known

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	05/27/1980	Re. 30,285	Babington			
	3.	01/23/1990	4,895,719	Radhakrishnun et al.			
	4.	09/17/1991	5,049,389	Radhakrishnan			
	5.	02/14/1995	5,388,574	Ingebretsen			
	6.	08/13/1996	5,544,646	Lloyd et al.			
	7.	08/12/1997	5,655,523	Hodson et al.			
	8.	12/09/1997	5,694,919	Rubsamen et al.			
	9.	04/07/1998	5,735,263	Rubsamen et al.			
	10.	04/14/1998	5,738,865	Baichwal et al.			
	11.	04/14/1998	5,738,865	Baichwal et al.			
	12.	06/02/1998	5,758,637	Ivri et al.			
	13.	06/29/1999	5,915,378	Lloyd et al.			
	14.	08/10/1999	5,934,272	Lloyd et al.			
	15.	09/28/1999	5,957,124	Lloyd et al.			
	16.	10/05/1999	5,960,792	Lloyd et al.			
	17.	11/30/1999	5,993,805	Sutton et al.			
	18.	03/28/2000	6,041,777	Faithfull et al.			
	19.	04/18/2000	6,051,566	Bianco			
	20.	08/01/2000	6,095,153	Kessler et al.			
	21.	08/15/2000	6,102,036	Slutsky et al.			
	22.	06/05/2001	6,241,969	Saidi et al.			
	23.	07/03/2001	6,255,334	Sands			
	24.	11/06/2001	6,313,176	Ellinwood, Jr. et al.			

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 00032.05CON		Application Number: 10/816,407	
	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 4/1/2004		Group Art Unit: Not Yet Known	

	25.	01/14/2003	6,506,762	Horvath et al.			
	26.	02/04/2003	6,514,482	Bartus et al.			
	27.	07/15/2003	6,591,839	Meyer et al.			
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	32.	08/22/2002	US 2002/0112723	Schuster et al.			
	33.	11/28/2002	US 2002/0176841	Barker et al.			
	34.	01/02/2003	US 2003/0004142	Prior et al.			
	35.	02/13/2003	US2003/0032638	Kim et al.			

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	36.	08/04/2003	/ 10/633,876	Hale et al.			
	37.	08/04/2003	/ 10/633,877	Hale et al.			
	38.	12/30/2003	/ 10/749,537	Rabinowitz et al.			
	39.	12/30/2003	/ 10/749,539	Rabinowitz et al.			
	40.	01/27/2004	/ 10/766,149	Rabinowitz et al.			
	41.	01/27/2004	/ 10/766,279	Rabinowitz et al.			
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	43.	01/27/2004	/ 10/766,574	Rabinowitz et al.			
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	49.	01/29/2004	/ 10/768,281	Rabinowitz et al.			
	50.	01/29/2004	/ 10/768,293	Rabinowitz et al.			
	51.	01/30/2004	/ 10/769,046	Rabinowitz et al.			

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 4/1/2004

Group Art Unit: Not Yet Known

	52.	01/30/2004	/	10/769,051	Rabinowitz et al.			
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	57.	03/03/2004	/	10/791,915	Hale et al.			
	58.	03/03/2004	/	10/792,001	Rabinowitz et al.			
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	61.	03/03/2004	/	10/792,096	Hale et al.			
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Did not p.c. cover	68.	04/01/2004	(?)	10/816,492	Rabinowitz et al.			
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	79.	01/16/2003		US 2003/0012737	Rabinowitz et al.			
	80.	01/16/2003		US 2003/0012738	Rabinowitz et al.			

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Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 4/1/2004

Group Art Unit: Not Yet Known

	81.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
	82.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
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	94.	02/20/2003	US 2003/0035776	Hodges et al.			
	95.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
	96.	05/27/2004	US 2004/010148	Hale et al.			
	97.	07/31/2003	US 2003/0138382	Rabinowitz			
	98.	11/06/2003	US 2003/0206869	Rabinowitz et al.			
	99.	01/15/2004	US 2004/0009128	Rabinowitz et al.			
	100.	05/20/2004	US 2004/0096402	Hodges et al.			
	101.	05/27/2004	US 2004/0099269	Hale et al.			
	102.	06/03/2004	US 2004/0105818	Hale et al.			
	103.	06/03/2004	US 2004/0105819	Hale et al.			
	104.	07/01/2004	US 2004/0126326	Rabinowitz et al.			
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	107.	07/01/2004	US 2004/0126329	Rabinowitz et al.			
	108.	07/01/2004	US 2004/0127481	Rabinowitz et al.			
	109.	07/01/2004	US 2004/0127490	Rabinowitz et al.			

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	Filing Date: 4/1/2004		Group Art Unit: Not Yet Known	

	110.	08/12/2004	US 2004/0156788	Rabinowitz et al.			
	111.	08/12/2004	US 2004/0156789	Rabinowitz et al.			
	112.	8/12/2004	US 2004/0156790	Rabinowitz et al.			
	113.	08/12/2004	US 2004/0156791	Rabinowitz et al.			

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	114.	03/14/1990	EP 0 358 114 /	Europe			
	115.	07/03/2001	EP 1 080 720 /	Europe			
	116.	08/29/2001	EP 0 606 486 /	Europe			
	117.	02/14/1985	WO 85/00520 /	WIPO			
	118.	06/13/1991	WO 91/07947 /	WIPO			
	119.	04/11/1996	WO 96/09846 /	WIPO			
	120.	05/09/1996	WO 96/13161 /	WIPO			
	121.	05/09/1996	WO 96/13290 /	WIPO			
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	126.	05/28/1998	WO 98/22170 /	WIPO			
	127.	07/23/1998	WO 98/31346 /	WIPO			
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	131.	01/06/2000	WO 00/00176 /	WIPO			
	132.	01/06/2000	WO 00/00215 /	WIPO			

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	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 4/1/2004		Group Art Unit: Not Yet Known	

	133.	05/18/2000	WO 00/27363 /	WIPO			
	134.	05/25/2000	WO 00/29053 /	WIPO			
	135.	09/17/2000	WO 00/47203 /	WIPO			
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	138.	11/09/2000	WO 00/66206 /	WIPO			
	139.	12/21/2000	WO 00/76673 /	WIPO			
	140.	01/25/2001	WO 01/05459 /	WIPO			
	141.	03/28/2002	WO 02/24158 /	WIPO			
<i>Did not receive</i>	142.	03/28/2002	WO 02/24158 (?)	WIPO			

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	Filing Date: 4/1/2004		Group Art Unit: Not Yet Known

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(including author, title, Date, Pertinent Pages, Etc.)

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	144.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.
	145.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.
	146.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society.</i> 966-974.
	147. <i>Did not receive</i>	Database Biosis "Online!" Biosciences Information Service, Philadelphia, PA 1979, Knight, V. et al., "Amantadine aerosol in humans", database accession no. PREV 198069035552 abstract, &Antimicrobial Agents and Chemotherapy 16(5):572-578.
	148. <i>Did not receive</i>	Database Biosis "Online!" Biosciences Information Service, Philadelphia, PA 1979, Wilson. S.Z. et al., "Amatadine Aerosol Particle Aerosol Generation and Delivery to Man" Database accession no. PREV198069008137, abstract & Proceedings of the Society for Experimental Biology and Medicine 161(3):350-354.
	149.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology.</i> 32(5):591-600.
	150.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology.</i> 93(3): 619-628.
	151.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.
	152.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.
	153.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior.</i> 36(1):1-7.
	154.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μm ," <i>J. Aerosol Sci.</i> 17(5):811-822.

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	Applicant: Joshua D. RABINOWITZ et al.		
	Filing Date: 4/1/2004		Group Art Unit: Not Yet Known

155.	/	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.
156.	/	Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.
157.	/	Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.
158.	/	Martin, B.R. and Lue, L.P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.
159.	/	Mattox, A.J. and Carroll, M.E., (1996). "Smoked heroin self-administration in rhesus monkeys," <i>Psychopharmacology</i> , 125:195-201.
160.	/	Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224.
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